

# AMENABLE GROUPS, TOPOLOGICAL ENTROPY AND BETTI NUMBERS

BY

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## ABSTRACT

We investigate an analogue of the  $L^2$ -Betti numbers for amenable linear subshifts. The role of the von Neumann dimension shall be played by the topological entropy.

## 1. Introduction

Let  $\Gamma$  be a finitely generated group. Then the Hilbert space  $l^2(\Gamma)$  has a natural left  $\Gamma$ -action by translations:

$$L_\gamma(f)(\delta) = f(\gamma^{-1}\delta).$$

Using the so-called von Neumann dimension we can assign a real number to any  $\Gamma$ -invariant linear subspace of  $[l^2(\Gamma)]^n$ ,  $n \in \mathbf{N}$  satisfying the following basic axioms [16].

1. **Positivity:** If  $V \subset [l^2(\Gamma)]^n$   $\Gamma$ -invariant linear subspace, then  $\dim_\Gamma(V) \geq 0$ . Also,  $\dim_\Gamma(V) = 0$  if and only if  $V = 0$ .
2. **Invariance:** If  $V \subset [l^2(\Gamma)]^n$ ,  $W \subset [l^2(\Gamma)]^m$  and  $T$  is a  $\Gamma$ -equivariant isomorphism from  $V$  to a dense subset of  $W$ , then  $\dim_\Gamma(V) = \dim_\Gamma(W)$ .
3. **Additivity:** If  $Z$  is the orthogonal direct sum of  $V$  and  $W$ , then  $\dim_\Gamma(Z) = \dim_\Gamma(V) + \dim_\Gamma(W)$ .

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4. **Continuity:** If  $V_1 \supset V_2 \supset \cdots$  is a decreasing sequence of  $\Gamma$ -invariant linear subspaces, then

$$\dim_{\Gamma} \left( \bigcap_{j=1}^{\infty} V_j \right) = \lim_{j \rightarrow \infty} \dim_{\Gamma}(V_j).$$

5. **Normalization:**  $\dim_{\Gamma}[l^2(\Gamma)] = 1$ .

There is an important application of the von Neumann dimension in algebraic topology due to Atiyah [1] (see also [4]). He defined certain invariants of finite simplicial complexes: the  $L^2$ -Betti numbers. The idea is the following. Let  $\tilde{K}$  be an infinite, simplicial complex with a free and simplicial  $\Gamma$ -action as covering transformations such that  $\tilde{K}/\Gamma = K$  is finite. Denote by  $C_{(2)}^p(\tilde{K})$  the Hilbert space of square-summable, real  $p$ -cochains of  $\tilde{K}$ . Then one has the following differential complex of Hilbert spaces,

$$C_{(2)}^0(\tilde{K}) \xrightarrow{d_0} C_{(2)}^1(\tilde{K}) \xrightarrow{d_1} \cdots \xrightarrow{d_{n-1}} C_{(2)}^n(\tilde{K}),$$

where the  $d_p$ 's are the usual coboundary operators.

Note that  $C_{(2)}^p(\tilde{K}) \cong [l^2(\Gamma)]^{|K_p|}$ , where  $K_p$  denotes the set of  $p$ -simplices in  $K$ . Atiyah's  $L^2$ -Betti numbers are defined as

$$L_{(2)} b^p(K) = \dim_{\Gamma} \operatorname{Ker} d_p - \dim_{\Gamma} \operatorname{Im} d_{p-1}.$$

Let us list some basic results on the  $L^2$ -Betti numbers.

- (Dodziuk, [4]) If  $\tilde{K}$  and  $\tilde{L}$  are homotopic by a  $\Gamma$ -invariant homotopy, then the corresponding  $L^2$ -Betti numbers of  $\tilde{K}/\Gamma = K$  and  $\tilde{L}/\Gamma = L$  are equal.
- (Cohen, [3])

$$\sum_{p=0}^n (-1)^p L_{(2)} b^p(K) = e(K),$$

the Euler characteristic of  $K$ .

- (Cheeger and Gromov, [2]) If  $\tilde{K}$  is contractible and  $\Gamma$  is amenable, then all  $L^2$ -Betti numbers are vanishing.
- (Linnell, [11]) If  $\Gamma$  is elementary amenable and torsion-free, then all  $L^2$ -Betti numbers are integers.
- (Lück, [12]) Let  $\Gamma$  be residually finite and

$$\Gamma \supset \Gamma_1 \supset \Gamma_2 \supset \cdots, \quad \bigcap_{i=1}^{\infty} \Gamma_i = 1_{\Gamma}$$

normal subgroups of finite index and let  $X_i = \tilde{K}/\Gamma_i$  be the corresponding finite coverings of  $K$ . Then

$$L_{(2)}b^p(K) = \lim_{i \rightarrow \infty} \frac{\dim_{\mathbf{R}} H^p(X_i, \mathbf{R})}{|\Gamma : \Gamma_i|}.$$

- (Dodziuk and Mathai [5]) If  $\{L_n\}_{n=1}^{\infty}$  is an exhaustion of  $\tilde{K}$  by finite simplicial complexes spanned by a  $\{F_n\}_{n=1}^{\infty}$  Følner-exhaustion, then

$$L_{(2)}b^p(K) = \lim_{i \rightarrow \infty} \frac{\dim_{\mathbf{R}} H^p(L_n, \mathbf{R})}{|F_n|}.$$

Note that the second and the third results together imply that if  $K$  is an acyclic simplicial complex with amenable fundamental group, then its Euler characteristic is zero [2]. Another interesting application is due to Lück: If  $\Gamma$  is amenable, then the group algebra  $\mathbf{C}[\Gamma]$  as a free module over itself generates an infinite cyclic subgroup in the Grothendieck group of  $\mathbf{C}[\Gamma]$  [13].

The analogue setting we are investigating in this paper is the following. Let  $\Gamma$  be a finitely generated amenable group (see [6] why amenability is crucial). We denote by  $\sum_{\Gamma}$  the full Bernoulli shift that is the linear space of  $\mathbf{F}_2$ -valued functions on  $\Gamma$ , where  $\mathbf{F}_2$  is the field of two elements. The space  $\sum_{\Gamma}$  is a compact, metrizable space in the pointwise convergence topology equipped with the natural left  $\Gamma$ -action by translations. A space  $V \subset [\sum_{\Gamma}]^n$  is a linear subshift if it is linear as a  $\mathbf{F}_2$ -vector space, closed in the topology and invariant with respect to the  $\Gamma$ -action. The notion of dimension is the topological entropy of the linear subshifts. This is well-known for  $\mathbf{Z}$  and  $\mathbf{Z}^d$ -actions and somehow less-known for general amenable group actions (nevertheless see [14]). We shall observe that our dimension  $h_{\Gamma}$  satisfies similar axioms as  $\dim_{\Gamma}$ :

1. **Nonnegativity:** For any  $V$  linear subshift :  $h_{\Gamma}(V) \geq 0$ . But it can be zero even if  $V$  is not zero.
2. **Monotonicity:** If  $V \subset W$ , then  $h_{\Gamma}(V) \leq h_{\Gamma}(W)$ .
3. **Invariance:** If  $T : V \rightarrow W$  continuous  $\Gamma$ -equivariant linear isomorphism, then  $h_{\Gamma}(V) = h_{\Gamma}(W)$ .
4. **Additivity:** If  $Z = V \oplus W$ , then  $h_{\Gamma}(Z) = h_{\Gamma}(V) + h_{\Gamma}(W)$ .
5. **Continuity:** If  $V_1 \supset V_2 \supset \dots$  is a decreasing sequence of linear subshifts, then

$$h_{\Gamma}\left(\bigcap_{j=1}^{\infty} V_j\right) = \lim_{j \rightarrow \infty} h_{\Gamma}(V_j).$$

6. **Normalization:**  $h_{\Gamma}(\sum_{\Gamma}) = 1$ .

Now let  $\tilde{K}$  be as above. Then we have the ordinary cochain complex of  $\mathbf{F}_2$ -coefficients over  $\tilde{K}$ :

$$C^0(\tilde{K}, \mathbf{F}_2) \xrightarrow{d_0} C^1(\tilde{K}, \mathbf{F}_2) \xrightarrow{d_1} \dots \xrightarrow{d_{n-1}} C^n(\tilde{K}, \mathbf{F}_2).$$

Then the  $p$ -cochain space  $C^p(\tilde{K}, \mathbf{F}_2)$  is  $\Gamma$ -isomorphic to  $[\sum_{\Gamma}]^{|K_p|}$ , where  $K_p$  denotes the set of  $p$ -simplices in  $K$ . We define the  $p$ -th entropy Betti number  $b_E^p(K)$  as  $h_{\Gamma}(\text{Ker } d_p) - h_{\Gamma}(\text{Im } d_{p-1})$ . In this paper we shall prove the following analogues of the  $L^2$ -results.

- If  $\tilde{K}$  and  $\tilde{L}$  are homotopic by a  $\Gamma$ -invariant homotopy and  $\Gamma$  is poly-cyclic, then the corresponding entropy-Betti numbers of  $\tilde{K}/\Gamma = K$  and  $\tilde{L}/\Gamma = L$  are equal.

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$$\sum_{p=0}^n (-1)^p b_E^p(K) = e(K),$$

the Euler characteristic of  $K$ .

- If  $\tilde{K}$  is contractible, then all entropy-Betti numbers are vanishing. (This is quite obvious; the point is that the corollary on the vanishing Euler-characteristic still follows from this and the previous statement.)
- If  $\Gamma$  is poly-infinite-cyclic, then all entropy-Betti numbers are integers.
- Let  $\Gamma$  be free Abelian and

$$\Gamma \supset \Gamma_1 \supset \Gamma_2 \supset \dots, \quad \bigcap_{i=1}^{\infty} \Gamma_i = 1_{\Gamma}$$

normal subgroups of finite index and let  $X_i = \tilde{K}/\Gamma_i$  be the corresponding finite coverings of  $K$ . Then

$$b_E^p(K) = \lim_{i \rightarrow \infty} \frac{\dim_{\mathbf{F}_2} H^p(X_i, \mathbf{F}_2)}{|\Gamma : \Gamma_i|}.$$

- If  $\{L_n\}_{n=1}^{\infty}$  is an exhaustion of  $\tilde{K}$  by finite simplicial complexes spanned by a  $\{F_n\}_{n=1}^{\infty}$  Følner-exhaustion, then

$$b_E^p(K) = \lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2} H^p(L_n, \mathbf{F}_2)}{|F_n|}.$$

We shall also prove an analogue of Lück's result on the Grothendieck-group for the group algebras  $\mathbf{F}_2[\Gamma]$ .

## 2. Amenable groups and quasi-tiles

Let  $\Gamma$  be a finitely generated group with a symmetric generator set  $\{g_1, g_2, \dots, g_k\}$ . The right Cayley-graph of  $\Gamma$ ,  $C_\Gamma$ , is defined as follows. Let  $V(C_\Gamma) = \Gamma$ ,  $E(C_\Gamma) = \{(a, b) \in \Gamma \times \Gamma : \text{there exists } g_i : ag_i = b\}$ . The shortest path distance  $d$  of  $C_\Gamma$  makes  $\Gamma$  a discrete metric space. We shall use the following notation. If  $H \subset \Gamma$  is a finite set, then  $B_r(H)$  is the set of elements  $a$  in  $\Gamma$  such that there exists  $h \in H$ ,  $d(a, h) \leq r$ . We denote  $B_1(H) \setminus H$  by  $\partial H$  and  $B_r(H) \setminus H$  by  $\partial_r H$ . An exhaustion of  $\Gamma$  by finite sets

$$1_\Gamma \in F_1 \subset F_2 \subset \dots, \quad \bigcup_{j=1}^{\infty} F_j = \Gamma$$

is called a Følner-exhaustion if for any  $r \in \mathbf{N}$ :  $\lim_{n \rightarrow \infty} (|\partial_r F_n|/|F_n|) = 0$ . A group  $\Gamma$  is called amenable if it possesses a Følner-exhaustion. Some amenable groups have **tiling** Følner-exhaustion, that is any  $F_n$  is a tile: There exists  $C \subset \Gamma$  such that  $\{cF_n\}_{c \in C}$  is a partition of  $\Gamma$ . For example,  $\mathbf{Z}^n$  has this tiling property. As observed by Ornstein and Weiss [15] **any** amenable group has quasi-tiling Følner-exhaustion. Let us recall their construction. Let  $\{A_i\}_{i=1}^{\infty}$  be finite sets. Then we call them  $\epsilon$ -disjoint if there exist subsets  $\overline{A}_i \subset A_i$  so that  $\overline{A}_i \cap \overline{A}_j = \emptyset$  if  $i \neq j$ , and  $|\overline{A}_i|/|A_i| \geq 1 - \epsilon$  for all  $i$ . Now let  $B$  another finite set. We say that  $\{A_i\}_{i=1}^{\infty}$   $(1 - \epsilon)$ -cover  $B$ , if

$$\frac{|B \cap \bigcup_{i=1}^{\infty} A_i|}{|B|} \geq 1 - \epsilon.$$

The subsets of  $\Gamma$ ,  $1_\Gamma \in T_1 \subset T_2 \subset \dots \subset T_N$ , form an  $\epsilon$ -quasi-tile system if for any finite subset of  $A \subset \Gamma$  there exists  $C_i \subset \Gamma$ ,  $i = 1, 2, \dots, N$  such that

1.  $C_i T_i \cap C_j T_j = \emptyset$  if  $i \neq j$ .
2.  $\{cT_i : c \in C_i\}$  are  $\epsilon$ -disjoint sets for any fixed  $i$ .
3.  $\{C_i T_i, 1 \leq i \leq N\}$  form a  $(1 - \epsilon)$ -cover of  $A$ .

The following proposition is Theorem 6 in [15]:

**PROPOSITION 2.1:** *If  $F_1 \subset F_2 \subset \dots$  is a Følner-exhaustion of an amenable group, then for any  $\epsilon > 0$  we can choose a finite subset  $F_{n_1} \subset F_{n_2} \subset \dots \subset F_{n_N}$  such that they form an  $\epsilon$ -quasi-tile system. The number  $N$  may depend on  $\epsilon$ .*

## 3. The topological entropy of linear subshifts

First of all we define an averaged dimension  $h_\Gamma(W)$  for linear subshifts and then we shall show that it coincides with the topological entropy. Let  $\Gamma$  be

a finitely generated amenable group with Følner-exhaustion  $1_\Gamma \in F_1 \subset F_2 \subset \dots$ ,  $\bigcup_{j=1}^\infty F_j = \Gamma$ . We introduce some notations. If  $\Lambda \subset \Gamma$  is a finite set, then let  $[\sum_\Lambda]^r$  be the space of functions in  $[\sum_\Gamma]^r$  supported on  $\Lambda$ . Also,  $[\sum_\Gamma^0]^r$  denotes the space of finitely supported functions. Now let  $W \subset [\sum_\Gamma]^r$  be a  $\Gamma$ -invariant not necessarily closed linear subspace. Then for any finite  $\Lambda \subset \Gamma$ , let  $W_\Lambda \subset [\sum_\Lambda]^r$  be the linear space of functions  $\eta$  supported on  $\Lambda$  such that there exists  $\nu \in W$ :  $\eta|_\Lambda = \nu|_\Lambda$ .

**Definition 3.1:**  $h_\Gamma(W) = \limsup_{n \rightarrow \infty} (\log_2 |W_{F_n}| / |F_n|)$

Note that  $\log_2 |W_{F_n}|$  is just the dimension of the vector space  $W_{F_n}$  over the field  $\mathbf{F}_2$ . It will be obvious from the next proposition that  $h_\Gamma(W)$  does not depend on the particular choice of the exhaustion.

**PROPOSITION 3.1:** 1.  $h_\Gamma(W) = h_\Gamma(\overline{W})$ , where  $\overline{W}$  denotes the closure of  $W$  in the pointwise convergence topology.

2.  $h_\Gamma(W) = \liminf_{n \rightarrow \infty} (\log_2 |W_{F_n}|) / |F_n|$ , hence  $\lim_{n \rightarrow \infty} (\log_2 |W_{F_n}|) / |F_n|$  always exists and equals  $h_\Gamma(W)$ .

*Proof:* The first part is obvious from the definition. For the second part we argue by contradiction. Suppose that

$$h_\Gamma(W) - \liminf_{n \rightarrow \infty} \frac{\log_2 |W_{F_n}|}{|F_n|} = \delta > 0.$$

Consider a subsequence  $F_{n_1} \subset F_{n_2} \subset \dots$  such that

$$\sup_{i \rightarrow \infty} \frac{\log_2 |W_{F_{n_i}}|}{|F_{n_i}|} \leq \liminf_{n \rightarrow \infty} \frac{\log_2 |W_{F_n}|}{|F_n|} + \epsilon,$$

where the explicit value of  $\epsilon$  shall be chosen later accordingly. Then pick an  $\epsilon$ -quasi-tile system from our subsequence:  $F_{m_1} \subset F_{m_2} \subset \dots \subset F_{m_N}$ . Now we take an arbitrary  $F_n$  from the original Følner-exhaustion. By Proposition 2.1 we have an  $\epsilon$ -disjoint  $(1 - \epsilon)$ -covering of  $F_n$  by translates of the quasi-tile system. Denote by  $R_1, R_2, \dots, R_k$  those tiles which are properly contained in  $F_n$ . Then we have the following estimate,

$$(1) \quad |W_{F_n}| \leq 2^{(r\epsilon|F_n| + r|F_n \setminus B_{D+1}(\partial F_n)|)} \prod_{i=1}^k |W_{R_i}|,$$

where  $D$  is the diameter of the largest tile  $F_{m_N}$ . The inequality (1) follows from the fact that a function  $\xi \in W_{F_n}$  is uniquely determined by its restrictions on the covering tiles and its restriction on the uncovered elements. The latter

one consists of two parts: the elements which are not covered at all by the original covering and the elements which are covered only by tiles intersecting the complement of  $F_n$ . These “badly” covered elements must be in a  $(D + 1)$ -neighbourhood of the boundary of  $F_n$ . Also, by  $\epsilon$ -disjointness we have the estimate

$$(2) \quad \sum_{i=1}^k |R_i| \leq \frac{1}{1-\epsilon} |F_n|.$$

Therefore,

$$|W_{F_n}| \leq 2^{(r\epsilon|F_n| + r|F_n \setminus B_{D+1}(\partial F_n)|)} 2^{\frac{1}{1-\epsilon}(h_\Gamma - \delta + \epsilon)|F_n|}.$$

Hence,

$$(3) \quad \frac{\log_2 |W_{F_n}|}{|F_n|} \leq r\epsilon + \frac{r|F_n \setminus B_{D+1}(\partial F_n)|}{|F_n|} + \frac{1}{1-\epsilon}(h_\Gamma - \delta + \epsilon).$$

Consequently, if we choose  $\epsilon$  small enough, then for large  $n$ ,

$$\frac{\log_2 |W_{F_n}|}{|F_n|} \leq h_\Gamma - \frac{\delta}{2},$$

leading to a contradiction. ■

Now we recall the notion of topological entropy. Let  $\Gamma$  be an amenable group as above and let  $X$  be a compact metric space equipped with a continuous  $\Gamma$ -action;  $\alpha: \Gamma \rightarrow \text{Homeo}(X)$ . Instead of the original definition of Moulin Ollagnier [14] we use the equivalent “spanning-separating” definition, that is a direct generalization of the Abelian case [20]. We call a finite set  $S \subset X$   $(n, \epsilon)$ -separating if for any distinct points  $s, t \in S$  there exists  $\gamma \in F_n$  such that  $d(\alpha(\gamma^{-1})(s), \alpha(\gamma^{-1})(t)) > \epsilon$ . We denote by  $s(n, \epsilon)$  the maximal cardinality of such sets. We call a finite set  $R \subset X$   $(n, \epsilon)$ -spanning if for any  $x \in X$  there exists  $y \in R$  such that  $d(\alpha(\gamma^{-1})(x), \alpha(\gamma^{-1})(y)) \leq \epsilon$ , for all  $\gamma \in F_n$ . We denote by  $r(n, \epsilon)$  the minimal cardinality of such sets. Obviously, if  $\epsilon' < \epsilon$  then  $s(n, \epsilon') \geq s(n, \epsilon)$ ,  $r(n, \epsilon') \geq r(n, \epsilon)$ . Also, we have the inequalities

$$r(n, \epsilon) \leq s(n, \epsilon) \leq r(n, \epsilon/2).$$

Indeed, any maximal  $(n, \epsilon)$ -separating set is  $(n, \epsilon)$ -spanning. On the other hand if  $R = x_1, x_2, \dots, x_k$  is a minimal  $(n, \epsilon/2)$ -spanning set, then

$$X = \bigcup_{i=1}^k D(x_i, n, \epsilon/2),$$

where

$$D(x_i, n, \varepsilon/2) = \{y \in X : d(\alpha(\gamma^{-1})(x), \alpha(\gamma^{-1})(y)) \leq \varepsilon/2, \text{ for all } \gamma \in F_n\}.$$

Any  $D(x_i, n, \varepsilon/2)$  can contain at most one element of a  $(n, \epsilon)$ -separating set, hence  $s(n, \epsilon) \leq r(n, \varepsilon/2)$ .

Consequently,

$$\lim_{\epsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log_2 r(n, \epsilon)}{|F_n|} = \lim_{\epsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log_2 s(n, \epsilon)}{|F_n|}.$$

This joint limit is called the topological entropy of the  $\Gamma$ -action and denoted by  $h_\alpha^{\text{top}}(X)$ .

**PROPOSITION 3.2:** *Let  $V \subset [\sum_\Gamma]^r$  be a linear subshift. Then  $h_L^{\text{top}}(V) = h_\Gamma(V)$ .*

*Proof:* First we fix a metric on  $V$  that defines the pointwise convergence topology. If  $v, w \in V$ , then let  $d_V(v, w) = 2^{-(n-1)}$ , where  $n$  is the infimum of  $k$ 's such that  $v|_{F_k} \neq w|_{F_k}$ . First note that  $|V_{F_n}| \leq s(n, 1)$ . Indeed if  $v_1, v_2, \dots, v_s$  is a subset of  $V$  such that  $v_i|_{F_n} \neq v_j|_{F_n}$  when  $i \neq j$ , then there exists  $\gamma \in F_n$  such that  $L_{\gamma^{-1}}v_i(1_\Gamma) \neq L_{\gamma^{-1}}v_j(1_\Gamma)$ . Fix an  $\epsilon$  and choose  $K_\epsilon, M_\epsilon \in \mathbf{N}$  such that  $\epsilon > 2^{-K_\epsilon}$  and  $F_{K_\epsilon} \subset B_{M_\epsilon}(1_\Gamma)$ . Then we claim that  $s(n, \epsilon) \leq |V_{B_{M_\epsilon}(F_n)}|$ . Indeed, if  $x|_{B_{M_\epsilon}(F_n)} = y|_{B_{M_\epsilon}(F_n)}$ , then for any  $\gamma \in F_n$ ,  $d_V(L_{\gamma^{-1}}(x), L_{\gamma^{-1}}(y)) \leq 2^{-K_\epsilon} < \epsilon$ . Hence if  $\epsilon < 1$ ,

$$h_\Gamma(V) = \lim_{n \rightarrow \infty} \frac{\log_2 |V_{F_n}|}{|F_n|} \leq \log_2(s(n, \epsilon)) \leq \lim_{n \rightarrow \infty} \frac{\log_2 |V_{B_{M_\epsilon}(F_n)}|}{|F_n|} = h_\Gamma(V). \quad \blacksquare$$

#### 4. Extended configurations

The notion of extended configuration is due to Ruelle in a slightly different form. Again we start with a linear subshift  $V \subset [\sum_\Gamma]^r$ . For any  $\Lambda \subset \Gamma$  finite set let  $V_\Lambda^\Omega \subset [\sum_\Lambda]^r$  be a finite dimensional linear subspace satisfying the following axioms:

- **Extension:**  $V_\Lambda \subset V_\Lambda^\Omega$ .
- **Invariance:**  $V_{\gamma\Lambda}^\Omega = L_\gamma(V_\Lambda^\Omega)$ .
- **Transitivity:** If  $\Lambda \subset M$ , then for any  $\xi \in V_M^\Omega$  there exists  $\mu \in V_\Lambda^\Omega$  such that  $\xi|_\Lambda = \mu|_\Lambda$ .
- **Determination:** If  $\xi \in [\sum_\gamma]^r$  and for any  $\Lambda \subset \Gamma$  finite there exists  $\xi_\Lambda \in V_\Lambda^\Omega$  such that  $\xi|_\Lambda = \xi_\Lambda$ , then  $\xi \in V$ .

We call such a system an extended configuration of  $V$ . Its topological entropy is defined as  $h_\Gamma^\Omega(V) = \limsup_{n \rightarrow \infty} |V_{F_n}^\Omega|/|F_n|$ .



PROPOSITION 4.1:  $h_{\Gamma}^{\Omega}(V) = h_{\Gamma}(V)$  (compare to Theorem 3.6 [19])

*Proof:* Let us suppose that  $h_{\Gamma}^{\Omega}(V) - h_{\Gamma}(V) = \delta > 0$ . Again choose an  $\epsilon$ -quasi-tile system  $F_{n_1}, F_{n_2}, \dots, F_{n_N}$  such that  $|V_{F_{n_i}}| < 2^{(h_{\Gamma} + \epsilon)|F_{n_i}|}$ , where the explicit value of  $\epsilon$  will be given later. Denote by  $V_{F_{n_i}}^k$  the space of functions  $\mu$  in  $V_{F_{n_i}}$  such that there exists  $\xi \in V_{B_k(F_{n_i})}^{\Omega}$  with  $\xi|_{F_{n_i}} = \mu|_{F_{n_i}}$ . By Extension and Determination properties it is easy to see that for large  $p$

$$(4) \quad V_{F_{n_i}}^p = V_{F_{n_i}},$$

where  $1 \leq i \leq N$ . Let us pick a large  $p$ . Then we can proceed almost the same way as in the previous section. Denote by  $R_i$ ,  $1 \leq i \leq k$  those translates in the  $\epsilon$ -disjoint  $(1 - \epsilon)$ -covering of a Følner-set  $F_n$  such that not only the  $R_i$ 's but even the  $B_p(R_i)$  balls are contained in  $F_n$ . Then by Transitivity we have the following estimate,

$$|V_{F_n}^{\Omega}| \leq 2^{(r\epsilon|F_n| + r|F_n \setminus B_{p+D+1}(\partial F_n)|)} \prod_{i=1}^k |V_{R_i}^p|.$$

That is by Invariance, (2) and (4),

$$\frac{\log_2 |V_{F_n}^{\Omega}|}{|F_n|} \leq r\epsilon + \frac{r|F_n \setminus B_{p+D+1}(\partial F_n)|}{|F_n|} + \frac{1}{1 - \epsilon} (h_{\Gamma}^{\Omega} - \delta + \epsilon)$$

which leads to a contradiction provided that we choose  $\epsilon$  small enough. ■

## 5. Basic properties

Now we are in a position to prove the basic properties of  $h_{\Gamma}$  as stated in the Introduction. The Monotonicity, Normalization and Positivity axioms are obviously satisfied.

LEMMA 5.1: Let  $V, W \subset [\sum_{\Gamma}]^r$  be linear subshifts such that  $V \cap W = 0$ . Then  $h_{\Gamma}(V) + h_{\Gamma}(W) = h_{\Gamma}(V \oplus W)$ .

*Proof:* First note that just because  $V \cap W$  is the zero subspace it is not necessarily true that  $V_{\Lambda} \cap W_{\Lambda} = 0$  as well. However, we can prove that  $N_{\Lambda}^{\Omega} = V_{\Lambda} \cap W_{\Lambda}$  is an extended configuration of the zero subspace. We only need to show that the Determination axiom is satisfied. Suppose  $\xi \in [\sum_{\Gamma}]^r$  such that  $\xi|_{F_n} \in V_{F_n} \cap W_{F_n}$ . Then there exists  $v_n \in V, w_n \in W$  such that  $\xi|_{F_n} = v_n|_{F_n} = w_n|_{F_n}$ . Hence  $v_n \rightarrow \xi, w_n \rightarrow \xi$  in the topology of  $[\sum_{\Gamma}]^r$ . The spaces  $V$  and  $W$  are closed, thus  $\xi \in V \cap W$ , hence  $\xi = 0$ . By elementary linear algebra,

$$\dim_{\mathbf{F}_2}(V \oplus W)_{\Lambda} + \dim_{\mathbf{F}_2} N_{\Lambda}^{\Omega} = \dim_{\mathbf{F}_2} V_{\Lambda} + \dim_{\mathbf{F}_2} W_{\Lambda}.$$

Hence by our Proposition 4.1 our Lemma follows.  $\blacksquare$

Now we prove a property of the entropy that is slightly more general than invariance.

**PROPOSITION 5.1:** *Let  $T: V \rightarrow W$  be a continuous  $\Gamma$ -equivariant linear map between linear subshifts  $V \subset [\sum_\Gamma]^r, W \subset [\sum_\Gamma]^r$ . Then  $h_\Gamma(\text{Ker } T) + h_\Gamma(\text{Im } T) = h_\Gamma(V)$ .*

*Proof:* First of all let us note that by the compactness of  $V$  and the continuity of  $T$  both  $\text{Ker } T$  and  $\text{Im } T$  are linear subshifts. Now let us consider the natural right action of  $\mathbf{F}_2(\Gamma)$  on  $[\sum_\Gamma]^r$ ,  $R_\gamma f(x) = f(x\gamma)$ . This action obviously commutes with our previously defined left  $\Gamma$ -action. The right action can be extended to  $s \times r$  matrices with coefficients in  $\mathbf{F}_2(\Gamma)$  acting on the column vectors  $[\sum_\Gamma]^r$ . Obviously, any such matrix  $M$  defines a  $\Gamma$ -equivariant map;  $T_M: [\sum_\Gamma]^r \rightarrow [\sum_\Gamma]^s$ .

**LEMMA 5.2:** *Any continuous  $\Gamma$ -equivariant linear map  $T: V \rightarrow W$  can be given via multiplication by some  $s \times r$  matrix  $T_M$  with coefficients in  $\mathbf{F}_2(\Gamma)$ .*

*Proof:* Since  $T$  is uniformly continuous the value of  $T(v)(1_\Gamma)$  is determined by the value of  $v$  on a finite ball  $B$ , where  $B$  does not depend on  $v$ . Hence for any  $1 \leq i \leq s$

$$T(v)(1_\Gamma) = \sum_{\gamma \in B} \sum_{j=1}^r c_{ij}^\gamma \cdot v_j(\gamma),$$

where  $c_{ij}^\gamma \in \mathbf{F}_2$ . By  $\{T_M\}_{ij} = \{\sum_{\gamma \in B} c_{ij}^\gamma \cdot \gamma\} \in \text{Mat}_{s \times r}(\mathbf{F}_2[\Gamma])$  define a  $s \times r$  matrix. Then for any  $v \in V$ ,  $T_M(v)(1_\Gamma) = T(v)(1_\Gamma)$ . Hence by the  $\Gamma$ -equivariance of the matrix multiplication

$$\begin{aligned} T_M(v)(\gamma) &= L_{\gamma^{-1}}(T_M(v))(1_\Gamma) = T_M(L_{\gamma^{-1}}(v))(1_\Gamma) \\ &= T(L_{\gamma^{-1}}(v))(1_\Gamma) = T(v)(\gamma). \quad \blacksquare \end{aligned}$$

Now we return to the proof of our Proposition. We denote by  $T_M$  the matrix and by  $k$  the diameter of the ball  $B$  defined in our Lemma. Let

$$N_\Lambda^\Omega = \{v \in [\sum_\Lambda]^r : \text{there exists } z \in V_{B_k(\Lambda)}, \text{ such that } z|_\Lambda = v|_\Lambda \text{ and } T(z)|_\Lambda = 0\}$$

and

$$M_\Lambda^\Omega = \{w \in [\sum_\Lambda]^s : \text{there exists } z \in V_{B_k(\Lambda)}, \text{ such that } T(z)|_\Lambda = w|_\Lambda\}.$$

Then  $N_\Lambda^\Omega$  is an extended configuration of  $\text{Ker } T_M$ ,  $M_\Lambda^\Omega$  is an extended configuration of  $\text{Im } T_M$ . Let  $\tilde{T}_\Lambda: V_{B_k(\Lambda)} \rightarrow [\sum_\Lambda]^s$  be the restriction of  $T$  onto  $\Lambda$ . Then  $\text{Im } \tilde{T}_\Lambda = M_\Lambda^\Omega$ . We have the usual pigeon-hole estimate:

$$(5) \quad |N_{F_n}^\Omega| \leq |\text{Ker } \tilde{T}_{F_n}| \leq |N_{F_n}^\Omega| 2^{r|B_k(\partial F_n)|}.$$

Also, by linear algebra we obtain

$$\dim_{\mathbf{F}_2} \text{Ker } \tilde{T}_{F_n} + \dim_{\mathbf{F}_2} \text{Im } \tilde{T}_{F_n} = \dim_{\mathbf{F}_2} V_{B_k(F_n)},$$

that is

$$(6) \quad \log_2 |\text{Ker } \tilde{T}_{F_n}| + \log_2 |\text{Im } \tilde{T}_{F_n}| = \log_2 |V_{B_k(F_n)}|.$$

It is easy to see that (5) and (6) together imply the statement of our Proposition. ■

Now we prove the Continuity property.

**PROPOSITION 5.2:** *If  $V^1 \supset V^2 \supset \dots$  is a decreasing sequence of linear subshifts, then*

$$h_\Gamma\left(\bigcap_{j=1}^{\infty} V^j\right) = \lim_{j \rightarrow \infty} h_\Gamma(V^j).$$

*Proof:* For any  $k \in \mathbf{N}$ ,  $V^k \supset \bigcap_{j=1}^{\infty} V^j$ . Hence

$$h_\Gamma\left(\bigcap_{j=1}^{\infty} V^j\right) \leq \lim_{j \rightarrow \infty} h_\Gamma(V^j).$$

We need to prove the converse inequality. Suppose that for all  $j$ ,  $h_\Gamma(V^j) \geq h_\Gamma(\bigcap_{j=1}^{\infty} V^j) + 2\epsilon$ . Let  $T(j)$  be a monotone increasing function such that

$$\frac{\log_2 |V_{F_s}^j|}{|F_s|} \geq h_\Gamma\left(\bigcap_{j=1}^{\infty} V^j\right) + \epsilon,$$

when  $s \geq T(j)$ . We define a monotone non-decreasing function  $S: \mathbf{N} \rightarrow \mathbf{N}$  such that  $S(n) = 1$  if there is no such  $j$  so that  $T(j) \leq n$ , and

$$S(n) = \max\{j : T(j) \leq n\}$$

otherwise. Then  $S(n) \rightarrow \infty$  as  $n \rightarrow \infty$ . Define  $V_\Lambda^\Omega = V_\Lambda^{S(n)}$ , where  $n$  is the smallest integer such that  $|F_n| \geq |\Lambda|$ . It is easy to see that  $V_\Lambda^\Omega$  is an extended configuration of  $\bigcap_{j=1}^{\infty} V^j$ . Therefore,  $(\log_2 |V_{F_n}^\Omega|)/|F_n| \rightarrow h_\Gamma(\bigcap_{j=1}^{\infty} V^j)$ . On the other hand, by our construction  $(\log_2 |V_{F_n}^\Omega|)/|F_n| \geq h_\Gamma(\bigcap_{j=1}^{\infty} V^j) + \epsilon$ , leading to a contradiction. ■

## 6. Pontryagin duality

In this section we recall the Pontryagin duality theory [8]. Let  $A$  be a locally compact Abelian group and let  $\hat{A}$  be its dual, that is the group of continuous homomorphisms  $\chi: A \rightarrow S^1 = \{z \in \mathbb{C}: |z| = 1\}$ . According to the duality theorem  $A$  is naturally isomorphic to its double dual. The relevant example for us is  $A = [\sum_{\Gamma}]^r$ ; its dual is  $[\sum_{\Gamma}^0]^r$  the group of finitely supported elements, where  $\langle \chi, f \rangle = \sum_{\gamma \in \Gamma} (\chi(\gamma), f(\gamma))$  for  $\chi \in [\sum_{\Gamma}^0]^r$  and  $f \in [\sum_{\Gamma}]^r$ . Here  $(a, b)$  is defined as  $\sum_{i=1}^r a_i b_i$ . The additive group of  $\mathbf{F}_2$  is viewed as the subgroup  $\{-1, 1\} \in S^1$ . If  $H \subset [\sum_{\Gamma}]^r$  is a compact subgroup, then

$$H^{\perp} = \{\chi \in [\sum_{\Gamma}^0]^r : \langle \chi, h \rangle = 1, \text{ for any } h \in H\}.$$

Conversely, if  $B \subset [\sum_{\Gamma}^0]^r$  is a subgroup then

$$B^{\perp} = \{f \in [\sum_{\Gamma}]^r : \langle \chi, f \rangle = 1 \text{ for any } \chi \in B\}.$$

Then  $(H^{\perp})^{\perp} = H$ ,  $(B^{\perp})^{\perp} = B$ . If  $A, B$  locally compact groups and  $\psi: A \rightarrow B$  continuous homomorphisms, then its dual  $\hat{\psi}: \hat{B} \rightarrow \hat{A}$  is defined by  $\langle \hat{\psi}(\chi), a \rangle = \langle \chi, \psi(a) \rangle$ . Again the double dual of  $\psi$  is itself if  $A$  and  $B$  are both compact or both discrete. Then  $\psi$  is injective resp. surjective if and only if  $\hat{\psi}$  is surjective (resp. injective). Moreover, if we have a short exact sequence of compact or discrete groups

$$1 \rightarrow A_1 \rightarrow A_2 \rightarrow \cdots \rightarrow A_n \rightarrow 1,$$

then its dual sequence

$$0 \rightarrow \hat{A}_n \rightarrow \cdots \rightarrow \hat{A}_2 \rightarrow \hat{A}_1 \rightarrow 0$$

is also exact. The next proposition is a version of a result of Kitchens and Schmidt [9].

**PROPOSITION 6.1:** *The Pontryagin duality provides a one-to-one correspondence between linear subshifts and finitely generated left  $\mathbf{F}_2[\Gamma]$ -modules.*

*Proof:* First note that if  $L_{\gamma}$  is the left multiplication by  $\gamma$  on  $[\sum_{\Gamma}]^r$ , then  $\hat{L}_{\gamma}$  is the left multiplication by  $\gamma^{-1}$  on  $[\sum_{\Gamma}^0]^r$ . Hence if  $V \xrightarrow{i} [\sum_{\Gamma}]^r$  is the natural imbedding of a linear subshift, then  $(\mathbf{F}_2[\Gamma])^r \cong [\sum_{\Gamma}^0]^r \xrightarrow{i^*} \hat{V}$  is a surjective  $\mathbf{F}_2[\Gamma]$ -module homomorphism, that is  $\hat{V}$  is a finitely generated left  $\mathbf{F}_2[\Gamma]$ -module. Conversely, the dual of a finitely generated  $\mathbf{F}_2[\Gamma]$ -module is a linear subshift. It is

important to note that if  $V \subset [\sum_{\Gamma}]^r$ ,  $W \subset [\sum_{\Gamma}]^s$  are isomorphic linear subshifts, then the dual of this isomorphism provides a module-isomorphism between  $\widehat{W}$  and  $\widehat{V}$ . Conversely, the duals of isomorphic modules are isomorphic linear subshifts.

■

## 7. The Noether property of group algebras

Let  $V \subset [\sum_{\Gamma}]^r$  be a linear subshift. We denote by  $V^0$  the subspace of finitely supported elements.

**PROPOSITION 7.1:** *If  $V^0$  contains a non-zero element then  $h_{\Gamma}(V^0) > 0$ .*

*Proof:* Let us suppose that a ball  $B_r(1_{\Gamma})$  contains the support of a non-zero element in  $V^0$ . We claim that there exists an  $\epsilon > 0$  such that if  $n$  is large enough, then  $F_n$  contains at least  $\epsilon|F_n|$  disjoint translates of  $B_r(1_{\Gamma})$ . First note that the claim implies our Proposition. If we have  $M_n$  translates of  $B_r(1_{\Gamma})$  in  $F_n$ , then we can find  $2^{M_n}$  different elements of  $V^0$  which are all supported in  $F_n$ . Therefore

$$\frac{\log_2 |V_{F_n}|}{|F_n|} \geq \frac{M_n}{|F_n|} \geq \frac{\epsilon|F_n|}{|F_n|} = \epsilon.$$

Hence  $h_{\Gamma}(V^0) \geq \epsilon$ . Let us prove the claim. Pick a maximal  $2r$ -net  $a_1, a_2, \dots, a_{M_n}$ , that is a maximal set of points in  $F_n$  such that any two have distance greater than or equal to  $2r$ . Then the  $4r$ -balls around the points  $a_i$  are covering  $F_n$ . Hence  $M_n \geq |F_n|/B_{4r}(1_{\Gamma})$ . Then at least half of the  $a_i$ 's are in  $F_n \setminus B_{r+1}(\partial F_n)$ . The balls around these elements being far from the boundary are completely in  $F_n$ . Hence we have at least  $\frac{1}{2}|F_n|/B_{4r}(1_{\Gamma})$  disjoint translates of  $B_r(1_{\Gamma})$  in  $F_n$ .

■

In the rest of this section we shall have an extra assumption on the amenable group  $\Gamma$ . We call an amenable group Noether if  $\mathbf{F}_2[\Gamma]$  is a Noether ring. That is, any left submodule of  $(\mathbf{F}_2[\Gamma])^r$  is finitely generated. According to Hall's theorem [17], if  $\Gamma$  is polycyclic-by-finite then  $\Gamma$  is Noether.

**PROPOSITION 7.2:** *If  $V \subset [\sum_{\Gamma}]^r$  is a linear subshift and  $\Gamma$  is Noether, then  $h_{\Gamma}(V) + h_{\Gamma}(V^{\perp}) = r$ .*

First of all  $V^{\perp} \subset [\sum_{\Gamma}^0]^r \subset [\sum_{\Gamma}]^r$ , hence the expression  $h_{\Gamma}(V^{\perp})$  is meaningful. By our assumption  $V^{\perp}$  is a finitely generated module, so let us choose a  $\{r_1, r_2, \dots, r_k\}$  finite generator set. We need to prove that

$$\lim_{n \rightarrow \infty} \frac{\log_2 |V_{F_n}^{\perp}|}{|F_n|} = r - h_{\Gamma}(V).$$

In order to do so it is enough to see that

$$(7) \quad \lim_{n \rightarrow \infty} \frac{\log_2 |V_{F_n}^\perp| - \log_2 |V_n^\perp|}{|F_n|} = 0,$$

where  $V_n^\perp$  denotes the set of elements in  $V^\perp$ , supported in  $F_n$ . Remember that  $V_{F_n}^\perp$  denotes the restrictions of the elements of  $V^\perp$ , therefore  $V_{F_n}^\perp \supset V_n^\perp$ . By linear algebra,

$$\dim_{\mathbf{F}_2}(V_{F_n}) + \dim_{\mathbf{F}_2}(V_n^\perp) = r|F_n|,$$

that is

$$\lim_{n \rightarrow \infty} \frac{\log_2 |V_n^\perp|}{|F_n|} = r - h_\Gamma(V).$$

Let us prove (7). Any element of  $V^\perp$  can be written (not in a unique way !) in the form  $\sum_{i=1}^k a_i r_i$ , where  $a_i \in \mathbf{F}_2[\Gamma]$ . Denote by  $D$  the supremum of the diameters of the  $r_i$ 's. If  $\text{supp}(a_i) \subset F_n \setminus B_{D+1}(\partial F_n)$ , for all  $i$ , then  $\sum_{i=1}^k a_i r_i \in V_n^\perp$ . On the other hand, if  $\text{supp}(a_i) \cap B_{D+1}(F_n) \neq \emptyset$  for all  $i$ , then  $\sum_{i=1}^k a_i r_i|_{F_n} = 0$ . Therefore we have the pigeon-hole estimate

$$|V_{F_n}^\perp| \leq |V_n^\perp| 2^{kr B_{D+1}(\partial F_n)},$$

that is

$$\frac{\log_2 |V_{F_n}^\perp| - \log_2 |V_n^\perp|}{|F_n|} \leq \frac{kr B_{D+1}(\partial F_n)}{|F_n|},$$

and the right hand side tends to zero. ■

Now we prove the density property.

**PROPOSITION 7.3:** *If  $\Gamma$  is Noether and  $V \subset [\sum_\Gamma]^r$  is a linear subshift, then  $h_\Gamma(V) = h_\Gamma(V^0)$ .*

*Proof:* By our previous Proposition,  $h_\Gamma(V^\perp) = r - h_\Gamma(V)$ . Therefore  $h_\Gamma(\overline{V^\perp}) = r - h_\Gamma(V)$ , where  $\overline{V^\perp}$  is the closure of  $V^\perp$  as  $[\sum_\Gamma^0]^r$  imbeds into  $[\sum_\Gamma]^r$ . Using our previous Proposition again,

$$h_\Gamma((\overline{V^\perp})^\perp) = h_\Gamma(V).$$

If  $\xi \in (\overline{V^\perp})^\perp$  then  $\xi$  is finitely supported and  $\xi \in (V^\perp)^\perp = V$ , that is  $\xi \in V^0$ . Therefore,

$$h_\Gamma(V) = h_\Gamma((\overline{V^\perp})^\perp) \leq h_\Gamma(V^0) \leq h_\Gamma(V). \quad \blacksquare$$

Actually, our proofs of the last two Propositions gives a slightly stronger result:

**PROPOSITION 7.4:** *Let  $\Gamma$  be Noether and let  $V$  be a linear subshift such that  $V^0$  is generated by  $r_1, r_2, \dots, r_k$  as left  $\mathbf{F}_2[\Gamma]$ -module. Denote by  $\tilde{V}_n$  the set of those elements in  $V^0$  which can be written in the form  $\sum_{i=1}^k a_i r_i$ , where all the  $a_i$ 's are supported in  $F_n$ . Then  $\lim_{n \rightarrow \infty} (\log_2 |\tilde{V}_n|) / |F_n| = h_\Gamma(V)$ .*

## 8. The Yuzvinskii formula

Recall Yuzvinskii's additivity formula for Abelian groups [KS]. Let  $\Gamma \cong \mathbf{Z}^d$  and  $\alpha$  be a  $\Gamma$ -action of continuous automorphisms on a compact metric group  $X$ . Suppose that  $Y$  is a compact  $\alpha$ -invariant subgroup; then

$$(8) \quad h_\alpha^{\text{top}}(X) = h_\alpha^{\text{top}}(Y) + h_\alpha^{\text{top}}(X/Y).$$

The results of Ward and Zhang [WZ] suggest that a similar statement might be true for general amenable actions. In our paper we prove only a very special case.

**PROPOSITION 8.1:** *Let  $Y \subset X \subset [\sum_\Gamma]^r$  be linear subshifts where  $\Gamma$  is Noether. Then*

$$h_L^{\text{top}}(Y) + h_L^{\text{top}}(X/Y) = h_L^{\text{top}}(X) = h_\Gamma(X),$$

where  $L$  is the usual left  $\Gamma$ -action.

*Proof:* The key observation is the following lemma.

**LEMMA 8.1:** *Let  $V \subset [\sum_\Gamma]^r$  be a linear subshift where  $\Gamma$  is Noether. Then there exists a constant  $D$  such that if  $\xi \in [\sum_\Gamma]^r$  and  $\xi|_{B_D(\gamma)} \in V_{B_D(\gamma)}$  for all  $\gamma \in \Gamma$ , then  $\xi \in V$ . That is, linear subshifts are of finite type for Noether groups.*

*Proof:* Let  $V^\perp \subset [\sum_\Gamma^0]^r$  be the orthogonal ideal of  $V$ . It is generated by  $r_1, r_2, \dots, r_N$ , where all  $r_i$ 's are supported in  $B_D(1_\Gamma)$ . Then  $\xi \notin V$  if and only if  $\langle \xi, L_\gamma(r_i) \rangle \neq 0$  for some  $i$  and  $\gamma \in \Gamma$ . It means that  $\xi|_{B_D(\gamma)} \notin V_{B_D(\gamma)}$ . ■

Now we define a metric on  $X/Y$ . If  $v, w \in X$  let  $d([v], [w]) = 2^{-(n-1)}$ , where  $n$  is the smallest integer such that  $(v-w)|_{B_D(\gamma)} \notin Y_{B_D(\gamma)}$  for some  $\gamma \in F_n$ . Here  $D$  denotes the diameter of the joint support of a generator system  $\{s_1, s_2, \dots, s_M\}$  of the ideal  $Y^\perp$ .

**LEMMA 8.2:** *The metric  $d$  defines the pointwise convergence topology.*

*Proof:* We need to prove that  $d([v_n], 0) \rightarrow 0$  implies that  $[v_n] \rightarrow Y$  in the factor topology of  $X/Y$  (the converse is obvious). Suppose that  $\{[v_n]\}$  does not converge to  $Y$  in the factor topology. Then there exists a subsequence  $v_{n_k}$  such

that  $v_{n_k} \rightarrow v \notin Y$  in the convergence topology of  $[\sum_\Gamma]^r$ . But then there exists a ball  $B_D(\gamma)$  such that  $v_{n_k} \mid_{B_D(\gamma)} \notin Y_{B_D(\gamma)}$  for large  $k$ . This contradicts the assumption that  $d([v_{n_k}], 0) \rightarrow 0$ . ■

Now let us turn back to the proof of our Proposition. Similarly to Proposition 3.2 we have a lower estimate for  $s_{X/Y}(n, 1)$  in the  $d$ -metric. Let us denote by  $G_n$  the set of elements in  $Y^\perp$  which can be written in the form  $\sum_{i=1}^m c_i s_i$  such that all the  $c_i$ 's are supported in  $F_n$ . Denote by  $H_n$  the set of those elements of  $[\sum_\Gamma]^r$  which are supported on  $B_D(F_n)$  and orthogonal to  $G_n$ . Then by Propositions 7.3 and 7.4,  $(\log_2 |H_n|)/|F_n| \rightarrow h_\Gamma(Y)$ . We have the following inequality:

$$k_n = |X_{B_D(F_n)}|/|H_n \cap X_{B_D(F_n)}| \leq s_{X/Y}(n, 1).$$

Indeed, there exist  $k_n$  elements of  $X_{B_D(F_n)}$  such that their pairwise differences  $x_i - x_j \notin H_n$ , thus  $\langle x_i - x_j, L_\gamma s_k \rangle \neq 0$  for some  $s_k$  and  $\gamma \in F_n$ . Hence  $d(L_{\gamma^{-1}}([x_i]), L_{\gamma^{-1}}([x_j])) = 1$ . Consequently,  $h_\Gamma(X) - h_\Gamma(Y) \leq h_L^{\text{top}}(X/Y)$ . Now fix an  $\epsilon$  and let  $B_R(1_\Gamma) \supset F_m$ , where  $2^{-m} < \epsilon$ . Then obviously

$$s_{X/Y}(n, \epsilon) \leq \frac{|X_{B_{D+r}(F_n)}|}{|Y_{B_{D+r}(F_n)}|},$$

which implies the converse inequality  $h_\Gamma(X) - h_\Gamma(Y) \geq h_L^{\text{top}}(X/Y)$ .

## 9. Betti numbers

In this section we define an analogue of the  $L^2$ -Betti numbers. Let  $\tilde{K}$  be a regular, normal  $\Gamma$ -covering of a finite simplicial complex  $K$ , where  $\Gamma$  is an amenable group that acts freely and simplicially on  $\tilde{K}$  and  $\tilde{K}/\Gamma = K$ . We have the ordinary cochain complex of  $\mathbf{F}_2$ -coefficients over  $\tilde{K}$ :

$$C^0(\tilde{K}, \mathbf{F}_2) \xrightarrow{d_0} C^1(\tilde{K}, \mathbf{F}_2) \xrightarrow{d_1} \dots \xrightarrow{d_{n-1}} C^n(\tilde{K}, \mathbf{F}_2).$$

Then the  $p$ -cochain space  $C^p(\tilde{K}, \mathbf{F}_2)$  is  $\Gamma$ -isomorphic to  $[\sum_\Gamma]^{|K_p|}$ , where  $K_p$  denotes the set of  $p$ -simplices in  $K$ . We define the  $p$ -th entropy Betti number  $b_E^p(K)$  as  $h_\Gamma(\text{Ker } d_p) - h_\Gamma(\text{Im } d_{p-1})$ . The following theorem is the analogue of Cohen's theorem [3].

**PROPOSITION 9.1:**  $\sum_{p=0}^n (-1)^p b_E^p(K)$  equals the Euler-characteristics of  $K$ .

*Proof:* By Proposition 5.1,

$$b_E^p(K) = h_\Gamma(\text{Ker } d_p) + h_\Gamma(\text{Ker } d_{p-1}) - h_\Gamma(C^{p-1}(\tilde{K}, \mathbf{F}_2)).$$



Summing up these equations for all  $p$  with alternating signs we obtain

$$\sum_{p=0}^n (-1)^p b_E^p(K) = \sum_{p=0}^n (-1)^p |K_p| = e(K). \quad \blacksquare$$

Now let us see the new proof of the result of Cheeger and Gromov.

**PROPOSITION 9.2:** *If  $\tilde{K}$  is contractible, then all entropy Betti numbers are vanishing.*

The proof is much easier than for the  $L^2$ -Betti numbers. If  $p > 0$ , then  $\mathbf{F}_2$ -cohomologies are vanishing, therefore  $b_E^p(K) = 0$  if  $p > 0$ . If  $p = 0$ , then the cocycle space is finite so the entropy Betti number must be zero.  $\blacksquare$

**COROLLARY 9.1:** *If  $K$  is a finite acyclic simplicial complex with an amenable fundamental group, then its Euler characteristic is zero.*

Now we prove the analogue of the result of Dodziuk and Mathai.

**PROPOSITION 9.3:**

$$b_E^p(K) = \lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2} H^p(L_n, \mathbf{F}_2)}{|F_n|},$$

where  $\{L_n\}$  is an exhaustion of  $\tilde{K}$  spanned by the Følner-sets.

**Remark:** Since  $\dim_{\mathbf{F}_2} H^p(L_n, \mathbf{F}_2) \geq \dim_{\mathbf{R}} H^p(L_n, \mathbf{R})$ , the entropy Betti numbers are at least as large as the corresponding  $L^2$ -Betti numbers. It is easy to construct examples, where some entropy Betti numbers are strictly larger than the corresponding  $L^2$ -Betti number (cf. the remark after Proposition 10.1).

**Proof of Proposition 9.3:** First note again that  $C^p(\tilde{K}, \mathbf{F}_2) \cong [\sum_{\Gamma}]^{|K_p|}$ . Denote by  $R$  a constant such that for any  $[(\gamma, p), (\delta, q)]$  1-simplex of  $\tilde{K}$ ,  $d_{\Gamma}(\gamma, \delta) \leq R$ . Now we can build an extended configuration for  $\text{Ker } d_p$  and  $\text{Im } d_p$  in the following way. Let  $S(\Lambda)$  be the simplicial complex spanned by vertices of the form  $(\gamma, p)$ , where  $\gamma \in B_{2R}(\Lambda)$  and  $p \in K_0$ . Also, let  $L_n$  be the simplicial complex spanned by the vertices with first coordinate in  $F_n$ . Consider the coboundary operator as  $[\sum_{\Gamma}]^{|K_p|} \xrightarrow{d_p} [\sum_{\Gamma}]^{|K_{p+1}|}$ . Let  $A_p(\Lambda)$  be the space of those functions in  $[\sum_{\Gamma}]^{|K_p|}$  which are supported on  $\Lambda$  and are the restriction of a cocycle of  $S(\Lambda)$ ; respectively let  $B_p(\Lambda)$  be the space of restrictions of coboundaries of  $S(\Lambda)$ . Obviously,  $A_p(\Lambda)$  is an extended configuration of  $\text{Ker } d_p$  and  $B_p(\Lambda)$  is an extended configuration of  $\text{Im } d_p$ . Then the usual pigeon-hole argument and Proposition 4.1 imply that

$$h_{\Gamma}(\text{Ker } d_p) = \lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2}(Z^p(S(F_n)))}{|F_n|}, \quad h_{\Gamma}(\text{Im } d_p) = \lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2}(B^p(S(F_n)))}{|F_n|},$$

where  $Z^p$  resp.  $B^p$  denote the space of cocycles resp. coboundaries. Therefore

$$b_E^p(K) = \lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2}(H^p(S(F_n), \mathbf{F}_2))}{|F_n|}.$$

Finally, we must prove that

$$\lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{F}_2}(H^p(S(F_n), \mathbf{F}_2)) - \dim_{\mathbf{F}_2}(H^p(L_n, \mathbf{F}_2))}{|F_n|} = 0.$$

Note that it follows from the long exact cohomology sequence induced by the inclusion  $L_n \rightarrow S(F_n)$  and the obvious fact that  $(\dim_{\mathbf{F}_2}(H^p(S(F_n), L_n, \mathbf{F}_2))/|F_n|)$  tends to zero as  $n \rightarrow \infty$ . ■

## 10. Towers and fixed points

In this section we recall some ideas of Farber [7]. Let  $\Gamma$  be a finitely generated residually-2 group. That is, there exists a chain of normal subgroups of prime power index,  $\Gamma \supset \Gamma_1 \supset \Gamma_2 \supset \dots$ , where  $\bigcap_{j=1}^{\infty} \Gamma_j = \{1_\Gamma\}$ . Let  $\tilde{K}/\Gamma = K$  be as in the previous section. Then one can consider the tower of finite simplicial complexes  $X_i = \tilde{K}/\Gamma_i$ . Note that  $X_i$  is a simplicial  $(\Gamma : \Gamma_i)$ -covering of  $K$ . Farber proved ([7], Theorem 11.1) that  $\lim_{j \rightarrow \infty} (\dim_{\mathbf{F}_2} H^i(X_j, \mathbf{F}_2))/|\Gamma : \Gamma_i|$  always exists. The following conjecture is the analogue of Lück's theorem on approximating the  $L^2$ -Betti numbers [12]:

CONJECTURE 10.1: *If  $\Gamma$  is as above a residually-2 group, then*

$$\lim_{j \rightarrow \infty} \frac{\dim_{\mathbf{F}_2} H^i(X_j, \mathbf{F}_2)}{|\Gamma : \Gamma_i|} = b_E^i(K).$$

PROPOSITION 10.1: *The conjecture is true if  $\Gamma$  is free Abelian.*

*Proof:* First of all note that if  $\Gamma$  is Noether, then any  $V \subset [\sum_\Gamma]^r$  linear subshift is expansive. That is, there exists  $\epsilon > 0$  such that if  $x \neq y \in V$ , then for some  $\gamma \in \Gamma$ ,  $d(L_\gamma(x), L_\gamma(y)) \geq \epsilon$ . This is just a reformulation of Lemma 8.1. The following result is due to Lind, Schmidt and Ward [10].

PROPOSITION 10.2: *If  $\alpha$  is an expansive  $\mathbf{Z}^d$ -action by automorphisms of a compact Abelian group  $X$ , then*

$$\lim_{|\mathbf{Z}^d : \Lambda| \rightarrow \infty, \Lambda \subset \mathbf{Z}^d} \frac{|\text{Fix } \Lambda|}{|\mathbf{Z}^d : \Lambda|} = h_\alpha^{\text{top}}(X)$$

where  $\text{Fix } \Lambda$  denotes the set of fixed points of the subgroup  $\Lambda$ .

Now let us turn to the proof of Proposition 10.1. Let  $Z_j^i$  be the space of  $i$ -cocycles on  $X_j$  and  $Z^i$  be the space of  $i$ -cocycles on  $\tilde{K}$ . Then  $Z_j^i$  is exactly the set of fixed points of the subgroup  $\Gamma_j$  on  $Z^i$ . (Note that the similar statement on coboundaries would not be necessarily true.) By Proposition 10.2,  $\lim_{j \rightarrow \infty} (\dim_{\mathbf{F}_2} Z_j^i) / |\Gamma : \Gamma_j| = h_\Gamma(Z^i)$ . If  $C_j^i$  denotes the space of  $i$ -cochains on  $X_j$  and  $C^i$  denotes the space of  $i$ -cochains on  $\tilde{K}$ , then  $(\dim_{\mathbf{F}_2} C_j^i) / |\Gamma : \Gamma_j| = |K_i| = h_\Gamma(C^i)$ , for all  $j$ . By our Proposition 5.1,

$$b_E^i(K) = h_\Gamma(Z^i) + h_\Gamma(Z^{i-1}) - h_\Gamma(C^{i-1}).$$

Also,

$$\dim_{\mathbf{F}_2} H^i(X_j, \mathbf{F}_2) = \dim_{\mathbf{F}_2}(Z_j^i) + \dim_{\mathbf{F}_2}(Z_j^{i-1}) - \dim_{\mathbf{F}_2}(C_j^{i-1}).$$

Hence our Proposition follows.  $\blacksquare$

It is not hard to construct a  $\tilde{K}$ , where for some  $p$  the entropy and  $L^2$ -Betti numbers differ. Simply consider the Cayley graph of  $\mathbf{Z}^d$  and then just stick a  $\mathbf{R}P^4$  on each vertex. Then if  $L_n$  denote the approximative complexes for some Følner-exhaustion,

$$b_E^4(K) = \lim_{n \rightarrow \infty} \dim_{\mathbf{F}_2} \frac{H^4(L_n, \mathbf{F}_2)}{|F_n|} = 1$$

and

$$L_{(2)} b^4(K) = \lim_{n \rightarrow \infty} \dim_{\mathbf{R}} \frac{H^4(L_n, \mathbf{R})}{|F_n|} = 0.$$

## 11. The Grothendieck group and the integrality of the Betti numbers

First we recall the notion of the Grothendieck group of a non-commutative ring  $R$  [18]. Let  $G(R)$  be the Abelian group, defined by generators  $\{[M]\}$ , where the  $M$ 's are the finitely generated left  $R$ -modules up to isomorphism. The relations are in the form  $[M] + [N] = [L]$ , for any exact sequence  $0 \rightarrow M \rightarrow L \rightarrow N \rightarrow 0$ . Lück [13] proved that if  $R = \mathbf{C}[\Gamma]$ , where  $\Gamma$  is amenable, then  $[\mathbf{C}[\Gamma]]$  generates an infinite cyclic subgroup in  $G(R)$ .

**PROPOSITION 11.1:**  $[\mathbf{F}_2[\Gamma]]$  generates an infinite cyclic subgroup in  $G(\mathbf{F}_2[\Gamma])$  for any finitely generated amenable group  $\Gamma$ .

*Proof:* It is enough to define a rank on finitely generated  $\mathbf{F}_2[\Gamma]$ -modules, such that  $\text{rk}([\mathbf{F}_2[\Gamma]]) = 1$  and  $\text{rk}([M]) + \text{rk}([N]) = \text{rk}([L])$  if

$$0 \rightarrow M \xrightarrow{i} L \xrightarrow{p} N \rightarrow 0.$$

Let  $\text{rk}(M) = h_\Gamma(\widehat{M})$ . Now apply Proposition 5.1 for the subshifts

$$0 \rightarrow \widehat{N} \xrightarrow{\widehat{p}} \widehat{L} \xrightarrow{\widehat{i}} \widehat{M} \rightarrow 0$$

and the additivity follows. ■

Linnell [11] proved that all  $L^2$ -Betti numbers are integers for torsion-free elementary amenable group  $\Gamma$ . We can prove the following proposition.

**PROPOSITION 11.2:** *If  $\Gamma$  is poly-infinite-cyclic, then  $h_\Gamma(V)$  is an integer for any linear subshift  $V$ .*

*Proof:* Let  $M = \widehat{V}$  be the dual  $F_2[\Gamma]$ -module of our subshift. Then, by Theorem 3.13 [17],  $M$  has a finite resolution by finitely generated projective modules:

$$0 \rightarrow M_n \rightarrow \cdots \rightarrow M_2 \rightarrow M_1 \rightarrow M \rightarrow 0.$$

Then, as we pointed out earlier, the dual sequence

$$0 \rightarrow V \rightarrow V_1 \rightarrow V_2 \rightarrow \cdots \rightarrow V_n \rightarrow 0$$

is an exact sequence of linear subshifts and continuous homomorphisms, where  $V_i = \widehat{M_i}$ . By Proposition 5.1 it is enough to show that all the  $h_\Gamma(V_i)$ 's are integers. By a result of Grothendieck and Serre (Theorem 4.13 [17]), if  $\Gamma$  is poly-infinite-cyclic, then all finitely generated, projective  $\mathbf{F}_2[\Gamma]$ -modules are stably free. Hence, using the notation of the previous section,

$$h_\Gamma(V_i) = \text{rk}(\widehat{V_i}) = \text{rk}((\mathbf{F}_2[\Gamma])^n) - \text{rk}((\mathbf{F}_2[\Gamma])^m) = n - m$$

is an integer. ■

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